



TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

Box Patent Application  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney's Docket: 021604.0115  
(T00047)

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Andrew W. Allemann et al.

For: AUTOMATED SYSTEM AND METHOD FOR CREATING  
ALIGNED GOALS

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Enclosed are:

- (1) 44 pages of Specification, Claims and Abstract; Technology Center 2100  
(2) 14 pages of Formal Drawings;  
(3) 2 pages Information Disclosure Statement with PTO-1449 Form  
(1 page) & attached references Acco bound (2 references);  
(4) 1 page Certificate of Mailing by Express Mail;  
(5) 2 pages Patent Application Bibliographic Data sheet (PrintEFS  
Version 1.0.1);  
(6) 1 Non-Publication Request;  
(7) 1 Return Receipt Postcard; and  
(8) This Transmittal Form with attached patent application filing fee in the  
amount of \$1586.00.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$710.00
Total Claims	42	20	22	X \$ 18 =	396.00
Independent Claims	9	3	6	X \$80 =	480.00
TOTAL FILING FEE =					\$1586.00



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The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

	Total Pages		Total Pages
<input checked="" type="checkbox"/> New Apply; C.P.A.; Con.; CIP.; Div.; Provisional; Converted Provisional (Specification, Claims, Abstract)	44	<input type="checkbox"/> Mo. Extension of Time	
<input checked="" type="checkbox"/> Bibliographic Data Sheet	2	<input type="checkbox"/> Notice of Appeal	
Declaration/power of Attorney		<input type="checkbox"/> Appeal Brief	
Assignment		<input type="checkbox"/> Issue Fee Transmittal	
<input type="checkbox"/> Verified Statement		Check No. _____ Amt.: _____	
<input checked="" type="checkbox"/> Formal Drawing	14	<input checked="" type="checkbox"/> Check No. 21637 Amt.: \$1586.00	
<input type="checkbox"/> Informal Drawings		<input type="checkbox"/> Serial No. _____	
<input type="checkbox"/> Amendment/Response		<input checked="" type="checkbox"/> Other IDS w/REFS. TRANSMITTAL 1449, CERT OF MFG, NOT. OF NON-PUB.	

Name of Inventor(s): AUEMANN ET AL.

Title of Invention: AUTOMATED SYSTEM & METHOD... ALIGNED GOALS

Client or Applicant: TRILOGY BB File No.: 021604.0115

Mailed: 3/1/01 Certificate of Mailing Express Mail ☒ Receipt No.: EL73995545305

Due: \_\_\_\_\_ Certificate Of Mailing First Class Mail ☐ Hand Delivered ☐

Atty/Secy: MRB/NB

Receipt Date & Serial No:

1c978 U.S. PTO 09/920458 08/01/01

BAKER BOTTS, L.L.P.

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01-25-02  
ATTORNEY DOCKET NUMBER  
021604.0115

Receipt #2  
cd  
4-26-02  
PATENT  
09/920,458



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Allemann et al.

Serial No.:

09/920,458

Date Filed:

August 1, 2001

Examiner:

Unknown

Group Art Unit:

Unknown

Title:

*Automated System and Method for Creating  
Aligned Goals*

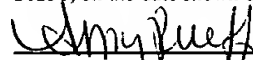
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Office of Initial Patent Examination's  
Customer Service Center  
Honorable Assistant Commissioner  
of Patents  
Washington, D.C. 20231

I hereby certify that this communication is being deposited with the United States Postal Service as Express Mail No.: EL923190611US addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

  
Amy Rueff

01/22/01

Date of Signature

Dear Sir:

REQUEST FOR OFFICIAL FILING RECEIPT

Attached is a copy of the application transmittal and returned postcard for the above-referenced patent application. Applicants have not received an Official Filing Receipt for this application. Therefore, a filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

ATTORNEY DOCKET NUMBER  
021604.0115

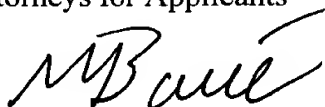
PATENT  
09/920,458

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Respectfully submitted this 21 day of January, 2002.

BAKER BOTTS L.L.P.

Attorneys for Applicants

A handwritten signature in cursive script, appearing to read "MBarré", written in black ink.

Michael R. Barré

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 5588

<b>SERIAL NUMBER</b> 09/920,458	<b>FILING DATE</b> 08/01/2001 <b>RULE</b>	<b>CLASS</b> 707	<b>GROUP ART UNIT</b> 2171	<b>ATTORNEY DOCKET NO.</b> 021604.0115 (T00047)
<b>APPLICANTS</b> Andrew W. Allemann, Austin, TX; Shad W. Reynolds, Austin, TX; Adam R. Hunter, Austin, TX; Justin B. Petro, Austin, TX;				
<b>** CONTINUING DATA *****</b>				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 09/12/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> TX	<b>SHEETS DRAWING</b> 14	<b>TOTAL CLAIMS</b> 42
<b>INDEPENDENT CLAIMS</b> 9				
<b>ADDRESS</b> Michael R. Barre Baker Botts L.L.P. Suite 600 2001 Ross Avenue Dallas, TX 75201-2980				
<b>TITLE</b> Automated system and method for creating aligned goals				
<b>FILING FEE RECEIVED</b> 1716	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



Creation date: 04-15-2004  
Indexing Officer: ZBANGURA - ZAIN BANGURA  
Team: OIPEBackFileIndexing  
Dossier: 09920458

Legal Date: 02-20-2002

No.	Doccode	Number of pages
1	IMIS	1

Total number of pages: 1

Remarks:

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